



N.C. Department of Transportation

Report on
House Bill 200



Goal of House Bill 200

- Consolidate Grant programs
- Streamline grant application process
- Create flexibility
- Increase utilization of Federal grants
- Create efficiencies





Consolidate Grant programs

State funds previously divided into specific grant categories were combined. This resulted in:

- Combined multiple funding codes into one
- Flexibility for local systems to utilize funds where most needed
- Increased ability to leverage Federal funds with State funds as match





Streamline Grant Process

Created an online application system for all grants. Benefits include:

- One site for application
- On-line invoicing
- Easy process for budget revisions
- Increased applications for Federal funds
- Increased management/accountability of expenditures
 - For grant recipient
 - For State grant manager





On-line Application Process

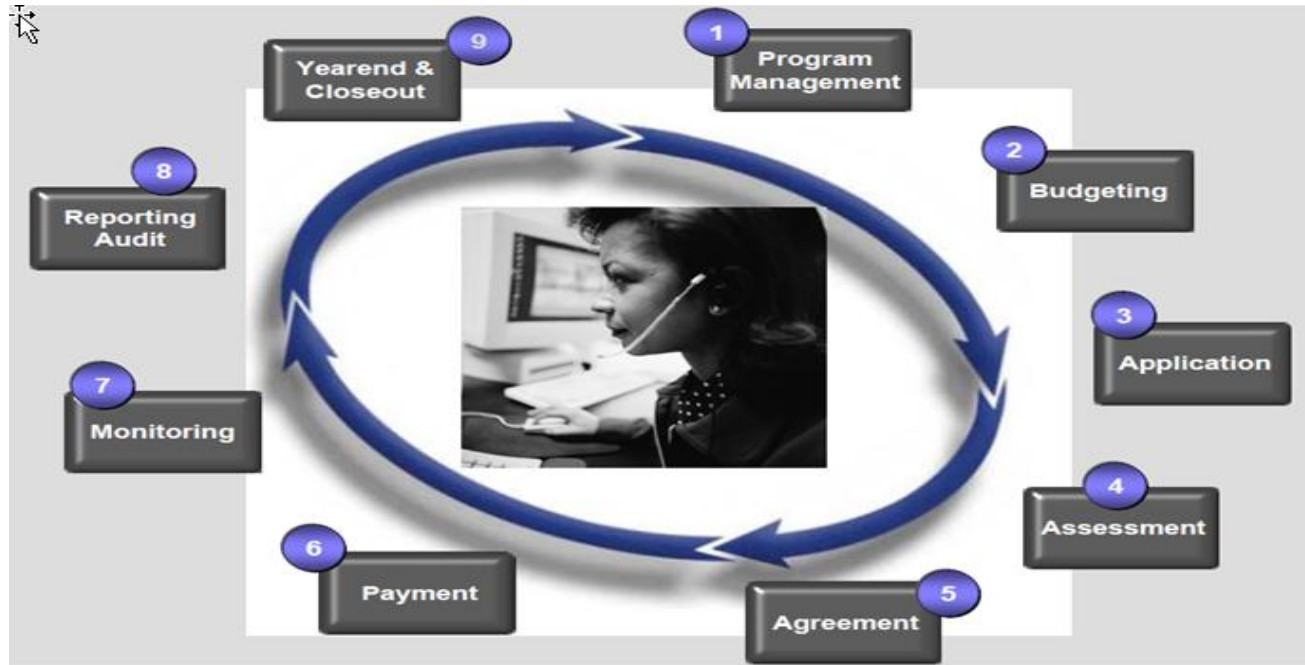
- **Created “Partner Connect”**
 - Planning, Design and Testing - June 2010 through April 2011
 - Grantees were trained August/September 2011
 - Conducted workshops across the state
 - Trained grantees on process for applying for Federal grants
 - System went live for Grantees August 2011
 - First applications of grantees began November 2011





How it Works

INTEGRATED PROCESS

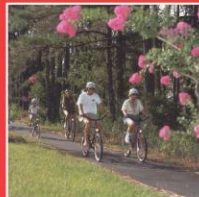
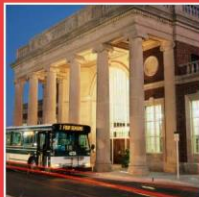
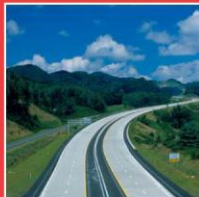




System Offers Additional Grant Management Enhancements

- Online Database for Program Accomplishment Reporting
- Online Database for Vehicle Inventory and Maintenance Performance
- Online Database for Training and Safety Performance
- Online Database for DBE/MBE/WBE Contracts & Payments





GRANTS

Welcome PTD_APPL

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Grants Home

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1000000371

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ORANGE COUNTY ...

4000000020

3000000101

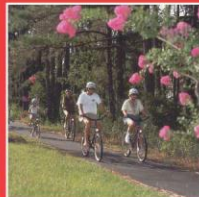
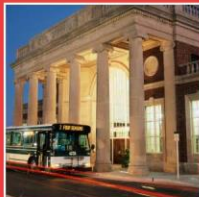
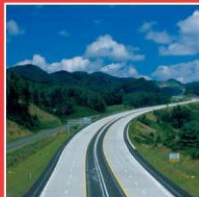
Grant Processes

New Application
Display Application
Change Application
View Agreement
Submit Change Request
Edit Change Request
New Claim
Review Claim
Change Claims
View Program/Grants

Sub-Recipient Logon View

Functions for capturing awards and payments to Disadvantaged, Minority, and Women Business Enterprises

Maintain Sub Contractor Awards
Enter Sub Contractor Payments



GRANTS

Welcome PTD_APPL

Saved Searches

Search: Application Form

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Recent Items

1000000371
2000000162
ORANGE COUNTY ...
4000000020
3000000101

Search Criteria

[Hide Search Fields](#)

Program ID	▼	is	▼	<input type="text"/>	<input type="button" value="Clear"/>	<input type="button" value="Reset"/>	<input type="button" value="Save"/>
Status	▼	is	▼	<input type="text"/>	<input type="button" value="Clear"/>	<input type="button" value="Reset"/>	<input type="button" value="Save"/>
Program Type	▼	is	▼	<input type="text"/>	<input type="button" value="Clear"/>	<input type="button" value="Reset"/>	<input type="button" value="Save"/>

Maximum Number of Results

Save Search As

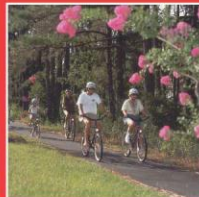
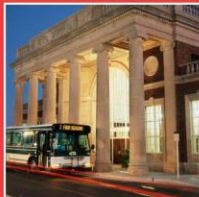
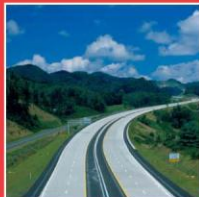
List of Grants

Result List



Application Form	Program ID
PTD Planning Application	P2011_5307_CAPITAL
PTD Planning Application	P2011_5307_PLANNING
PTD Planning Application	P2011_5309_CAPITAL
PTD Capital Application	P2011_5310_CAPITAL
PTD Admin & Operating Application	P2011_5310_OPER
PTD Capital Application	P2011_5316_CAPITAL
PTD Admin & Operating Application	P2011_5316_OPER
PTD Capital Application	P2011_5317_CAPITAL
PTD Admin & Operating Application	P2011_5317_OPER
PTD Capital Application	P2011_ADV_TECH

◀ Back 1 2 3 4 Forward ▶



Grantor Program: P2011_5307_CAPITAL, FY11 5307 State Match Capital

Home

Worklist

Programs

Applications

Agreements

Claims

Accounts

Reports

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Help

FAQ's



Recent Items

3000000139

1000000420

Application 1000000...

1000000422

Application 1000000...

Program Details

Program ID P2011_5307_CAPITAL
Description FY11 5307 State Match Capital
Higher-Level ID
Program Type PTD Standard Program
Start Date 07/01/2010
End Date 06/30/2013

Employee Resp. Wayne Rogers

Service Organization Description Service Organization

Program Management Area O 50000001 80

Status Released

Last Changed By/On MPANDYA / 02/08/2012

Currency USD

Program Profile PTD - Planning Programs

Process Control

Application

Appl. Submiss. Period Date Restriction

From/To 07/01/2010 / 06/30/2013

Budget Reservation No

Agreement Creation Date Restriction

From/To 07/02/2011 / 06/30/2013

Budget Reservation Yes

Claim

Claim Submiss. Period Date Restriction

From/To 07/02/2011 / 06/30/2013

Change Request

Change Req. Submiss. Period Date Restriction

From/To 08/01/2011 / 06/30/2013

Transfer to ERP No

Status ERP Transfer Not Transferred

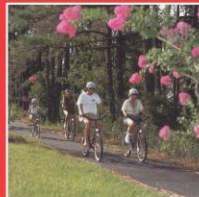
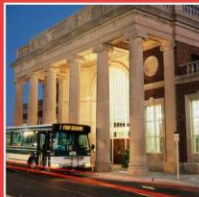
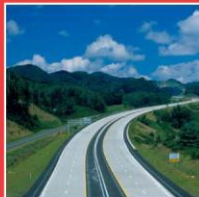
Business Agmt Class

Authorization Group PTD Authorization Group

Status Profile Grantor Program

Action Profile CRM_GPM

Grants/Program Controls



Simplified Claim Submission



Please fill out the following form. You can save data typed into this form.

Highlight Fields

EXPENSES:

Expenses	Description	Approved Budget	Approved/ Pending Expenditures	Expenses This Period	Agreement To Date Expenditures	Balance
G191	G191 - Accounting	\$2,000	\$200	\$1,500	\$1,700	\$300
G192	G192 - Legal	\$1,900	\$0	\$200	\$200	\$1,700
G195	G195 - Mgt Consultant	\$100	\$0		\$0	\$100
G254	G254 - Licenses Tags & Fees	\$1,000	\$0		\$0	\$1,000
TOTAL EXPENSES		\$5,000	\$200	\$1,700	\$1,900	\$3,100

CLAIM PERCENTAGES:

TOTAL NET CLAIM THIS PERIOD	\$1,700
ESTIMATED LOCAL SHARE	10% \$170
ESTIMATED STATE SHARE	10% \$170
ESTIMATED FEDERAL SHARE	80% \$1,360
ESTIMATED AMOUNT DUE THIS INVOICE	\$1,530

AUTHORIZING OUR RECIPIENT SIGNATURE

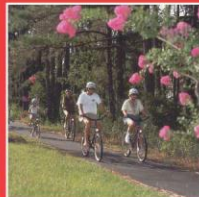
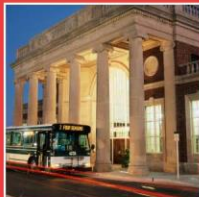
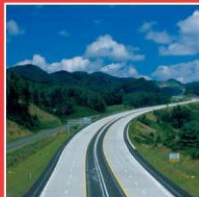
Grants Home

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1000000378
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ORANGE COUNTY ...
4000000020



Grants Dashboard

PTD Grants Dashboard

Program type

PTD Program

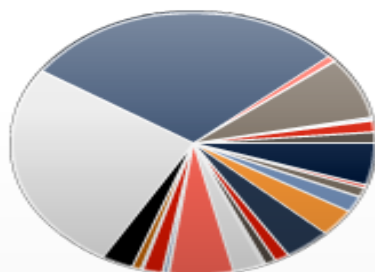
Fiscal year

K4/2011

Summary

Program Review

Program Summary FY K4/2011



P2011_5317_CAPITAL
P2011_5317_OPER
P2011_DEMONSTRATION
P2011_INTERCITY_OPER
P2012_5303_PLANNING
P2012_5307_CAPITAL
P2012_5307_CHAPLHILL_CAP
P2012_5307_PLANNING
P2012_5310_ALLEGHANY_CAP
P2012_5310_ALLEGHANY_OPE
P2012_5310_CAPITAL
P2012_5310_EBCI_CAPITAL
P2012_5310_EBCI_OPERAT
P2012_5310_MOBILITY
P2012_5310_OPERATING
P2012_5311_ADMIN
P2012_5311_CAPITAL

	Count	Amount
Programs	50	
Applications	423	\$ 85,683,359.00
Agreements	268	\$ 41,889,488.00
Claims	207	\$ 8,667,446.00

Program P2011_5307_CAPITAL

Applications Ct



Agreements Ct



Claims Ct





Stakeholder Involvement

Seven workshops held across NC to:

- Inform the stakeholders of federal funding opportunities to promote transit in NC.
- Educate the stakeholders on how to leverage federal transit operating funds with state and local funds.





Initial Results

17% increase in both the number of Federal Grant applications and the dollar amount applied for.

FY12: 214 applicants --- (\$32,865,858 requested)

FY13: 251 applications --- (\$38,500,403 requested)

NOTE: FY13 applications have not been completely reviewed by staff, dollar amounts may slightly change.





Future Recommendations

- Generate a survey to all transit systems to gather data regarding issues and concerns of the current programs not meeting the needs of the transit systems.
- Coordinate workshops to educate stakeholders on the special challenges of the grant programs.
- Target focus groups to discuss and recommend methodologies to refine and improve of the processes and consolidation of grants.
- Recommendation to the JLTOC by June 30, 2012.





Questions?

